



GROW WITH US

SHEHANSHAH SHARES & STOCKS PRIVATE LIMITED

MEMBER : NATIONAL STOCK EXCHANGE OF INDIA LIMITED

SEBI Regn. No.: INB231301830, INF231301830 & INE231301830

Client Code :

Client Name :

CLIENT REGISTRATION FORM

TRADING -INDIVIDUAL / CORPORATE

NSE : CASH / F&O / CD / IRF

IN PERSON VERIFICATION

Name of Employee : _____
Designation : _____
Date : _____ Place : _____
Signature of Employee : _____

Please provide the below listed documents:

Documents	Identity	Address	Signature	Bank Proof	DP Proof
• PAN card with signature					
• Client Master List/ Holding Statement (Not more than 2 months old)					
• Passport (Clear copy of Name, Address & Photo)					
• Driving License (Clear copy of Name, Address & Photo)					
• Voter's Identity card (Both Front and Back)					
• Ration Card					
• Bank Passbook/ Bank Statement					
• Cheque leaf along with bank Statement or bank Passbook					
• Rent Agreement					
• Electricity, Water, Landline Telephone Bill(Not more than 2 months old)					

• From above listed documents, collect any one or more document that will establish all proofs and make a "Yes" in all five columns. (For example, PAN copy, Dmat

Holding statement, Driving Licence & bank statement confirms 'Yes' in all Five Columns).

- Please fill up the form in CAPITAL LETTERS.
- Please make a total of full signatures (which are numbered, for your convenience). Additional signatures are required for the non-judicial stamp papers.
- Please write your name as it appears in PAN/Bank proof in your documents. In case of any mismatch Declaration required.
- Form should be completed in all respects in own Handwriting by client only.
- Name, Address & Signature of witness on agreement part.
- Verification stamp with signature, name & date on the documents collected.
- Please initial wherever overwriting is done.
- If any of above proof is in language other than Hindi and English, Notarized copy for the same will only be accepted
- Copy of Documentary evidence for address and identity proof of introducer.

SPECIFIC INSTRUCTIONS LIST CUM CHECK LIST

Additional documents for Trading in Derivative Segment

- a. Copy of ITR acknowledgement
 - b. Copy of annual Accounts
 - c. Net-worth Certificate
- Bank account statement for last six months. – Copy of DMAT holding statement – In case of Salaried persons Latest Salary Slip and Form 16 copy

MINOR

- All documents as per Common list for both Minor and his/her Guardian.
- Undertaking letter signed by the Guardian.
- Recent Passport size Photo of both Minor and Guardian

HUF

- All documents as per Common list for both of HUF and it's Karta.
- Undertaking letter signed by two Coparceners.
- Recent Passport size Photo of Karta of HUF.
- Rubber stamp of HUF required on every sign of Karta.

PROPRIETORSHIP FIRM

- All documents as per Common list for Proprietor.
- Recent Passport size Photo of Proprietor.
- Rubber stamp of firm required on every sign of Proprietor.
- Declaration on letterhead of the firm as per annexure attached.
- DMAT account will be in the name of proprietor only.
- Proprietor's name and relation verification on letterhead of bank by the Banker.
- Certified copy of Balance sheet/Annual accounts for Last two years.
- Latest Income tax return copy of Proprietor.

GENERAL INSTRUCTIONS

1. Please fill up the form in Capital letters.
2. Spelling of name in the form should be same as in the Identity proof and address proof
3. Please initial where ever overwriting is done.
4. KYC Form shall be signed by the client and must be filled by his own hand.
5. Introducer should also provide the proof of his identity by submitting any of the documents provided in para no. 12
6. Client shall compulsory provide evidence of financial details from any of the following documents
 Balance Sheet Copy of Income Tax Return Fixed Deposit Demat Holding Salary Certificate (in case of employe of reputed company)
7. It is mandatory to provide following details :
(i) Pin Code (ii) Mobile No. (iii) Father & Spouse Name (iv) MICR & IFSC Code of Bank
8. Witness is mandatory where ever is required.
9. If any information required to be given does not fit in the form, separate sheet may be used.
10. Each client has to use one registration form. In case of joint names/family members, please submit separate form for each person.
11. Information provided shall be kept confidential and the same shall not be disclosed to any person/entity except as required under the law.
12. Kindly provide Xerox copy of any TWO of the following documents: (PAN is compulsory)
 Ration Card Electricity bill Flat Maintenance Bill Passport
 Income Tax PAN Bank Pass Book Latest Income Tax Return Voters ID Card
 Rent Agreement Driving Licence Telephone Bill
 Photo Identity card issued by Employer registered under MAPIN
(Copy of Rent agreement, Bank Pass Book, Electricity bill, Flat Maintenance Bill or Telephone bill should not be older than 3 months)
13. Original documents as mentioned above are required for verification at the time of registration.
14. This booklet contains two part. Mandatory Part having 22 signatures, all shall be signed by the Client. Voluntary Part containing 19 signatures, client shall go through the documents and sign where ever necessary.
15. It is mandatory to have client's undated amount payee cheque of the full limit amount in favour of Shehanshah Shares & Stocks Pvt. Ltd..
16. Stamp Paper of Rs. 50/- for each agreement separately is to be attached E.g. P.O.A. and another general Agreements.
17. Copies of the following documents to be obtained in case of Company / Firm / Others.
 1. Copy of the balance sheet for the last 2 financial years (copies of annual balance sheet to be submitted every year).
 2. Copy of latest share holding pattern including list of all those holding more than 5% in the share capital of the company, duly certified by the company secretary/whole -time director/MD. (copy of updated shareholding pattern to be submitted every year).
 3. Copy of the Memorandum and Articles of Association in case of a company / body incorporate /Partnership deed in case of a partnership firm.
 4. Copy of the Resolution of board of directors approving participation in equity / derivatives / debt trading and naming authorized persons for dealing in securities/ currency segment.
 5. Photographs of partners/Whole time directors. Individual promoters holding 5% or more. either directly or indirectly, in the shareholding of the company and of persons authorized to deal in securities/ currency segment .

FOR CORPORATE ACCOUNT

1. Copies of balance sheet & Income Tax return of company of last two years. (Annual balance sheet & income tax return to be submitted every year).
2. Net-worth certificate of the company. (Certificate duly certified by Chartered Accountant).
3. Address proof of the company. (Form – 18 of bank statement/ latest electricity bill/ latest telephone bill (airtel/MTNL only)).
4. Copy of PAN card of the company.
5. Memorandum & Article of association of company.
6. Proof of Demat account (Duly certified Demat Client-master/ Demat holding statement).
7. List of all directors/promoters/whole time directors along with their designation, passport size photo and signature duly certified.
8. Copy of PAN card, ID proof, address proof and bank proof of all directors of the company.
9. Annexure A - Letter from banker certifying account no. and period for which account has been operative.
10. Annexure B - Latest shareholding pattern including list of all those holding more that 5% share capital of company (duly certified by company secretary/ director).
(Updated shareholding pattern to be submitted every year).
11. Annexure C – List of Directors of the company.
12. Annexure D - Board resolution to deal securities with the member
(Duly certified by all directors).
13. Annexure E – Authority letter in case of Partnership Firm
14. Annexure F – Declaration letter in case of HUF firm
15. Attested certified copy of PAN card, ID proof, address proof and bank proof of authorized person.

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Shehanshah Shares & Stocks Pvt. Ltd.

Plot No. 19, Pocket C-9, Sector-7, Rohini, Delhi-110085
 Phone : 011- 43241100 (12 Lines), 011 - 27041100, Fax : 011- 45171100
 Website : www.shehanshah.com E-mail: info@shehanshah.com

MANDATORY

Affix your
 Photo here
 and sign on
 photograph



INDIVIDUAL CLIENT REGISTRATION FORM FOR CAPITAL / F&O /CURRENCY DERIVATIVES SEGMENT

Dear Sir,

I request you to register me as your client and enable me to trade in the Capital Market/F&O/Currency Segment/Interest Rate Future of NSE pursuant to the Agreement entered into with you. I have read Rules, Bye-laws and Regulations of the Capital Market/F&O/Currency Segment of NSE ("the Exchanges") and agree to abide by them. In this regard, I give the following information:

CLIENT INFORMATION

Name :

Father's Name :

Mother's Name :

Date of Birth : Sex Male Female

Educational Qualification : Graduate Post Graduate Professional Others(Pl. Specify)

Marital Status Married Unmarried

Income Tax Number (PAN) :

Income Range (per Annum) : Rs. 100000 Rs. 100001 to 500000 Rs. 500001 to 1000000
 (Tick where applicable) Rs. 1000001 to 2500000 Above Rs. 2500000

Unique Identification No. : Residential Status/Nationality Indian NRI

CORRESPONDENCE ADDRESS DETAILS

Residential Address :

..... PIN

Phone No. (with STD Code) : Mobile :

Fax No. (with STD Code) : E-mail :

Office Address :

..... PIN

Phone No. (with STD Code) : Mobile :

Fax No. (with STD Code) : E-mail :

Employed / Established Since : Designation :

SPOUSE DETAILS

Name of Spouse :

Date of Birth : Sex Male Female

Occupation : Professional Business Service House wife Others.....(Pl. Specify)

IF EMPLOYED / SELF EMPLOYED

Employer's Name :

Establishment Name (in case of self employed/ professional/ business & others) :

Address :

Educational Qualification : Graduate Post Graduate Professional Others(Pl. Specify)

Income Tax Number (PAN) :



BANK DETAILS

Bank Name	Branch Address & Telephone No.	Bank A/c No.	A/c Type SB/CA	MICR No.

(Copy of cancelled cheque leaf/pass book/statement-containing name of the constituent should be submitted).

DEPOSITORY DETAILS

Name & Address of the DP	DP ID	Beneficiary ID	Name of the Beneficiaries ID

DETAILS OF REGISTRATION WITH THE OTHER BROKER (IF REGISTERED WITH MULTIPLE MEMBERS, PROVIDE DETAILS OF ALL)

If Yes, Name of the Broker :
 Broker Code :
 Name of Exchange :
 Details of Sub-Broker :

INTRODUCER'S DETAILS

Name :
 Father's Name :
 Address :
 Signature :
 Relationship with applicant : Relationship with TM :

INVESTMENT EXPERIENCE

Investment Experience : No Prior Experience __Year in Stock __Year in Derivatives __Year in any related field

TRADING PREFERENCE

A. Stock Exchange on which you wish to trade :

National Stock Exchange

B. Market Segment you wish to trade :

Capital Market Future & Options Currency Derivative Interest Rate Futures

Details of Actions taken against you by Any authority

Details of your pending grievances with any intermediary

-
-
-

-
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-

PLEASE SIGN THIS DECLARATION

I hereby declare that all the information and particulars given by me in this application are true to the best of my knowledge and belief. I agree to immediately inform you in writing if there is any change in any of the information given in this application. I also declare and agree that if any of the above statements are found to be incorrect or false or any information or particulars have been suppressed or omitted there from, I am liable to be debarred from doing business in all segments of the Exchange. I also agree to furnish such further information as you or the Exchanges may require from me and I agree that if I fail to give such information, you shall have the right to cancel my registration and the exchanges shall have the right to debar me from doing business in all segments of the Exchange. I hereby declare that no action has been taken by SEBI/Stock exchange/any other authority for violation of securities laws/other economic offences.

Name : Signature :
 Place : Date :

FOR OFFICE USE ONLY

Unique Client Code:.....

Original document Verified by Authorized by:..... date:.....

NAME & DESIGNATION OF THE EMPLOYEE WHO INTERVIEWED THE CLIENT

Name : Designation :Signature.....



Shehanshah Shares & Stocks Pvt. Ltd.

Plot No. 19, Pocket C-9, Sector-7, Rohini, Delhi-110085
 Phone : 011- 43241100 (12 Lines), 011 - 27041100, Fax : 011- 45171100
 Website : www.shehanshah.com E-mail: info@shehanshah.com

MANDATORY

Affix your
 Photo here
 and sign on
 photograph



ADDITIONAL INFORMATION FOR CORPORATE/FIRM / OTHERS CLIENT REGISTRATION FORM FOR CAPITAL / F&O/CURRENCY DERIVATIVES SEGMENT

Dear Sir,

We request you to register us as your client and enable us to trade in the Capital Market/F&O/Currency Segment/ Interest Rate Future of NSE pursuant to the Agreement entered into with you. We have read Rules, Bye-laws and Regulations of the Capital Market/ F&O/ Currency Segment of NSE ("the Exchanges") and agree to abide by them. In this regard, We give the following information:

DETAILS

Name of the Company / Firm/Others :

Date of Incorporation :

Date of Commencement of Business :

Registration Number :

Nature of Business : CIN No.

Place (Registration) : DIN No.

Income Tax Number (PAN) :

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Unique Identification No. :

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CORRESPONDENCE ADDRESS DETAILS

Registered Office Address :

..... PIN

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Phone No. (with STD Code) : Mobile :

City / State : E-mail :

Correspondence Address (if any) :

..... PIN

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Phone No. (with STD Code) : Mobile :

City / State : E-mail :

DETAILS OF PROMOTERS/WTD/PARTNER/KARTA/PERSONS AUTHORISED TO DEAL IN SECURITIES ON BEHALF OF THE COMPANY/FIRM/OTHERS AND THEIR RESIDENTIAL ADDRESS

1.
2.
3.
4.

BANK DETAILS

Bank Name	Branch Address & Telephone No.	Bank A/c No.	A/c Type SB/CA	MICR No.

(Copy of cancelled cheque leaf/pass book/statement-containing name of the constituent should be submitted).



DEPOSITORY DETAILS

Name & Address of the DP	DP ID	Beneficiary ID	Name of the Beneficiaries ID

DETAILS OF REGISTRATION WITH THE OTHER BROKER (IF REGISTERED WITH MULTIPLE MEMBERS, PROVIDE DETAILS OF ALL)

If Yes, Name of the Broker :
 Broker Code :
 Name of Exchange :
 Details of Sub-Broker :

INTRODUCER'S DETAILS

Name :
 Father's Name :
 Address :
 Signature :
 Relationship with applicant : Relationship with TM :

INVESTMENT EXPERIENCE

Investment Experience : No Prior Experience __Year in Stock __Year in Derivatives __Year in any related field

TRADING PREFERENCE

A. Stock Exchange on which you wish to trade :

National Stock Exchange

B. Market Segment you wish to trade :

Capital Market Future & Options Currency Derivative Interest Rate Futures

Details of Actions taken against you by Any authority

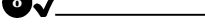
Details of your pending grievances with any intermediary

-
-
-

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-
-

PLEASE SIGN THIS DECLARATION

I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting I am / we are aware that I/We may be held liable for it. I/We also hereby declare that no action has been taken by SEBI/ Stock Exchange/ any other authority against the constituent or its partners/promoters /whole time directors / authorised person in charge of dealing in securities for violation of securities laws/other economic offences. Details of any action taken be SEBI/ S.E./ any other authority against the constituents of its partners/ promoters/ WTD/ authorized persons in charge of dealing in securities / currency/ derivative segment for violation of securities law/ other economic offences.

Name : Signature : 

Place : Date :

FOR OFFICE USE ONLY

Unique Client Code :

Original document Verified by Authorized by:..... date:.....

NAME & DESIGNATION OF THE EMPLOYEE WHO INTERVIEWED THE CLIENT

Name : Designation :Signature.....

This agreement is made and executed at this day of, 20 soundness of the client and investment / trading objectives relevant to the services to be provided; and

between: M/s. Shehanshah Shares & Stocks Pvt. Ltd., a body corporate, incorporated under the provisions of the Companies Act, 1956, being a member of the National Stock Exchange of India Ltd. (hereinafter called "the Exchange"), and having its registered office at Plot No. 19, Pocket C-9, Sector-7, Rohini, Delhi-110085 (hereinafter called "the stock broker") which expression shall, unless repugnant to the context or meaning thereof, be deemed to mean and include itself in the capacity of a trading member while trading in Capital Market, F&O, Currency, its executors, administrators and legal representatives, as the case may be, of the One Part;

And

Mr./Ms/M/s, an individual/a sole proprietary concern/a partnership firm/a body corporate, registered/incorporated, under the provisions of the Indian Partnership Act, 1932/the Companies Act, 1956, having his/her/its residence/ registered office at.....

.....(hereinafter called "the client") which expression shall, unless repugnant to the context or meaning thereof, be deemed to mean and include his/her heirs, executors, administrators and legal representatives/the partners for the time being of the said firm, the survivor or survivors of them and their respective heirs, executors, administrators and legal representatives/its successors, as the case may be, of the Other Part;

WHEREAS the trading member is registered as the trading member of the National Stock Exchange of India Ltd. with SEBI registration number INB231301830 in the Capital Market Segment, SEBI registration number INF231301830 in the F&O Segment, SEBI registration number INE231301830 in the Currency Segment.

Whereas the client is desirous of investing/trading in those securities/ F&O contracts/currency derivatives contracts other instruments admitted to dealings on the Exchange as defined in the Rules, Byelaws and Regulations of the Exchange and circulars issued there under from time to time.

WHEREAS the client has satisfied itself of the capacity of the trading member to deal in securities and/F&O contracts/currency derivatives contract and wishes to execute its orders through the trading member and the client shall from time to time continue to satisfy itself of such capability of the trading member before executing orders through the trading member.

WHEREAS the trading member has satisfied and shall continuously satisfy itself about the genuineness and financial

WHEREAS the trading member has taken steps and shall take steps to make the client aware of the precise nature of the Trading member's liability for business to be conducted, including any limitations, the liability and the capacity in which the trading member acts.

WHEREAS the trading member and the client agree to be bound by all the Rules, Bye-laws and Regulations of the Exchange and circulars issued there under and Rules and Regulations of SEBI and relevant notifications of Government authorities as may be in force from time to time.

Now, therefore, in consideration of the mutual understanding as set forth in this agreement, the parties thereto have agreed to the following terms and conditions:

1. The client agrees to immediately notify the trading member in writing if there is any change in the information in the 'client registration form' provided by the client to the trading member at the time of opening of the account or at any time thereafter.
2. The trading member declares that it has brought the contents of the risk disclosure document to the notice of client and made him aware of the significance of the said document. The client agrees that:
 - a. He has read and understood the risks involved in trading on a stock exchange.
 - b. He shall be wholly responsible for all his decisions and trades.
 - c. The failure of the client to understand the risk involved shall not render a contract as void or void able and the client shall be and shall continue to be responsible for all the risks and consequences for entering into trades in the segment.
 - d. He is liable to pay applicable initial margins, withholding margins, special margins or such other margins as are considered necessary by the trading member or the Exchange or as may be directed by SEBI from time to time as applicable to the segment. The trading member is permitted in its sole and absolute discretion to collect additional margins (even though not required by the Exchange, Clearing House/Clearing Corporation or SEBI) and the client shall be obliged to pay such margins within the stipulated time.

- e. Payment of margins by the client does not necessarily imply complete satisfaction of all dues. In spite of consistently having paid margins, the client may, on the closing of its trade, be obliged to pay (or entitled to receive) such further sums as the contract may dictate/require.
3. The Client agrees to pay to the trading member brokerage and statutory levies as are prevailing from time to time and as they apply to the Client's account, transactions and to the services that trading member renders to the Client. The trading member agrees that it shall not charge brokerage more than the maximum brokerage permissible as per the rules, regulations and Bye-laws of the relevant stock exchange/SEBI.
 4. The client agrees to abide by the exposure limits, if any, set by the trading member or by the Exchange or Clearing Corporation or SEBI from time to time.
 5. Without prejudice to the trading member's other rights (including the right to refer a matter to arbitration), the trading member shall be entitled to liquidate/close out all or any of the client's positions for non-payment of margins or other amounts, outstanding debts, etc. and adjust the proceeds of such liquidation / close out, if any, against the client's liabilities/ obligations. Any and all losses and financial charges on account of such liquidation/closing-out shall be charged to and borne by the client.
 6. The trading member agrees that the money/securities deposited by the client shall be kept in a separate account, distinct from his/its own account or account of any other client and shall not be used by the trading member for himself/itself or for any other client or for any purpose other than the purposes mentioned in SEBI Rules and Regulations circulars/ guidelines/Exchanges Rules/Regulations/Bye-laws and circulars.
 7. The client agrees to immediately furnish information to the trading member in writing, if any winding up petition or insolvency petition has been filed or any winding up or insolvency order or decree or award is passed against him or if any litigation which may have material bearing on his capacity has been filed against him.
 8. The trading member agrees to inform the client and keep him apprised about trading/ settlement cycles, delivery/payment schedules, any changes therein from time to time, and it shall be the responsibility in turn of the client to comply with such schedules/ procedures of the stock exchange.
 9. In the event of death or insolvency of the client or his/ its otherwise becoming incapable of receiving and paying for any contracts which the client has ordered to be bought or sold, or of delivering or transferring securities, the trading member may close out the transaction of the client and claim losses, if any, against the estate of the client. The client or his successors, heirs and assigns shall be entitled to any surplus which may result there from.
 10. The trading member agrees that it shall co-operate in redressing grievances of the client in respect of transactions routed through it and in removing objections for bad delivery of shares, rectification of bad delivery, etc. in respect of shares and securities delivered/ to be delivered or received/to be received by the client.
 11. The trading member shall continue to be responsible for replacing bad deliveries of the client in accordance with applicable "Good & bad delivery norms" even after termination of the agreement and shall be entitled to recover any loss incurred by him in such connection from the client.
 12. The trading member shall ensure due protection to the client regarding client's rights to dividends, rights or bonus shares, etc. in respect of transactions routed through it and it shall not do anything which is likely to harm the interest of the client with whom and for whom it may have had transactions in securities.
 13. The client and the trading member agree to refer any claims and/or disputes to arbitration as per the Rules, Byelaws and Regulations of the Exchange and circulars issued there under as may be in force from time to time.
 14. The trading member hereby agrees that he shall ensure faster settlement of any arbitration proceedings arising out of the transactions entered into between him and the client and that he shall be liable to implement the arbitration awards made in such proceedings.
 15. Information about default in payment/delivery and related aspects by a client shall be brought to the notice of the relevant stock Exchange(s). In case where defaulting client is a corporate entity/partnership/proprietary firm or any other artificial legal entity, then the name(s) of director(s)/ promoter(s)/ Partner(s)/proprietor as the case may be, shall also be communicated to the relevant stock exchange(s).
 16. The trading member and the client agree to reconcile their accounts at the end of each quarter with reference to all the settlements where payouts have been declared during the quarter.
 17. The trading member and the client agree to abide by any award passed by the Ombudsman under the SEBI (Ombudsman) Regulations, 2003.
 18. The trading member and the client declare and agree that the transactions executed on the Exchange are subject to

Rules, Byelaws and Regulations and circulars issued there under of the Exchange and all parties to such trade shall have submitted to the jurisdiction of such court as may be specified by the Byelaws and Regulations of the Exchange for the purpose of giving effect to the provisions of the Rules, Byelaws and Regulations of the Exchange and the circulars issued there under.

19. The instructions issued by an authorized representative, if any, of the client shall be binding on the client in accordance with the letter authorizing the said representative to deal on behalf of the said client.
20. Where the Exchange cancels trade(s) suo moto all such trades including the trade/s done on behalf of the client shall ipso facto stand cancelled.
21. This agreement shall forthwith terminate; if the trading member for any reason ceases to be a member of the stock exchange including cessation of membership by reason of the trading member's default, winding up, resignation or expulsion or if the certificate issued by the Board is cancelled.
22. The trading member and the client shall be entitled to terminate this agreement without giving any reasons to the other party, after giving notice in writing of not less than one month to the other parties. Notwithstanding any such termination, all rights, liabilities and obligations of the parties arising out of or in respect of transactions entered into prior to the termination of this agreement shall continue to subsist and vest in /be binding on the respective parties or his / its respective heirs, executors, administrators, legal representatives or successors, as the case may be.
23. In addition to the specific rights set out in this Agreement, the trading member and the client shall be entitled to exercise

any other rights which the trading member or the client may have under the Rules, Bye-laws and Regulations of the Exchange and circulars issued there under or Rules and Regulations of SEBI.

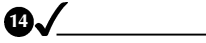
24. Words and expressions which are used in this Agreement, but which are not defined herein shall, unless the context otherwise requires, have the same meaning as assigned thereto in the Rules, Byelaws and Regulations of the Exchange and circulars issued there under.
25. The provisions of this agreement shall always be subject to Government notifications, any rules, regulations, guidelines and circulars issued by SEBI and Rules, Regulations and Bye laws of the relevant stock exchange that may be in force from time to time.
26. The trading member hereby undertakes to maintain the details of the client as mentioned in the client registration form or any other information pertaining to the client in confidence and that it shall not disclose the same to any person/ authority except as required under any law/regulatory requirements; Provided however that the trading member may so disclose

This agreement can be altered amended and / or modified by the parties mutually in writing without derogating from the contents of this Agreement.

Provided, however if the rights and obligation of the parties hereto are altered by virtue of change in Rules and Regulations of the relevant stock exchange, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this agreement.

IN WITNESS THEREOF the parties to the agreement have caused these presents to be executed as of the day and year first above written.

The Client's Signature/ Authorized Signatory:

Signed by:	
Title:	
Name of the client:	
Witness:	
1.	
2.	

For Shehanshah Shares & Stocks Pvt. Ltd. .

Signed by:	
Title:	
Name of the client:	
Witness:	
1.	
2.	

Note: All references to the specific quantity/rate/fee mentioned in this agreement are subject to change from time to time, as so agreed to in writing between the parties.

This document is issued by the member of the National Stock Exchange of India (hereinafter referred to as "NSE") which has been formulated by the Exchanges in coordination with the Securities and Exchange Board of India (hereinafter referred to as "SEBI") and contains important information on trading in Equities and F&O, Currency Derivative of NSE. All prospective constituents should read this document before trading on Capital Market/Currency Derivative or F&O segment of the Exchanges.

NSE/SEBI does neither singly or jointly and expressly nor impliedly guarantee nor make any representation concerning the completeness, the adequacy or accuracy of this disclosure document nor has NSE/SEBI endorsed or passed any merits of participating in the trading segments. This brief statement does not disclose all the risks and other significant aspects of trading.

In the light of the risks involved, you should undertake transactions only if you understand the nature of the contractual relationship into which you are entering and the extent of your exposure to risk.

You must know and appreciate that investment in Equity shares, derivative or other instruments traded on the Stock Exchange(s), which have varying element of risk, is generally not an appropriate avenue for someone of limited resources/limited investment and/or trading experience and low risk tolerance. You should therefore carefully consider whether such trading is suitable for you in the light of your financial condition. In case you trade on NSE and suffer adverse consequences or loss, you shall be solely responsible for the same and NSE, its Clearing Corporation/ Clearing House and/or SEBI shall not be responsible, in any manner whatsoever, for the same and it will not be open for you to take a plea that no adequate disclosure regarding the risks involved was made or that you were not explained the full risk involved by the concerned member. The constituent shall be solely responsible for the consequences and no contract can be rescinded on that account. You must acknowledge and accept that there can be no guarantee of profits or no exception from losses while executing orders for purchase and/or sale of a security or derivative being traded on NSE.

It must be clearly understood by you that your dealings on NSE through a member shall be subject to your fulfilling certain formalities set out by the member, which may inter alia include your filling the know your client form, client registration form, execution of an agreement, etc., and are subject to the Rules, Byelaws and Regulations of NSE and its Clearing Corporation, guidelines prescribed by SEBI and in force from time to time and Circulars as may be issued by NSE or its Clearing Corporation/ Clearing House and in force from time to time. NSE does not provide or purport to provide any advice and shall not be liable to any person who enters into any business relationship with any trading member and/or sub-broker of NSE and/or any third party based on any information contained in this document. Any

information contained in this document must not be construed as business advice/investment advice. No consideration to trade should be made without thoroughly understanding and reviewing the risks involved in such trading. If you are unsure, you must seek professional advice on the same.

In considering whether to trade or authorize someone to trade for you, you should be aware of or must get acquainted with the following: -

1. BASIC RISKS INVOLVED IN TRADING ON THE STOCK EXCHANGE (EQUITY AND OTHER INSTRUMENTS)

1.1 Risk of Higher Volatility:

Volatility refers to the dynamic changes in price that securities undergo when trading activity continues on the Stock Exchange. Generally, higher the volatility of a security/contract, greater is its price swings. There may be normally greater volatility in thinly traded securities/contracts than in active securities/contracts. As a result of volatility, your order may only be partially executed or not executed at all, or the price at which your order got executed may be substantially different from the last traded price or change substantially thereafter, resulting in notional or real losses.

1.2 Risk of Lower Liquidity:

Liquidity refers to the ability of market participants to buy and/or sell securities / contracts expeditiously at a competitive price and with minimal price difference. Generally, it is assumed that more the numbers of orders available in a market, greater is the liquidity. Liquidity is important because with greater liquidity, it is easier for investors to buy and/or sell securities / contracts swiftly and with minimal price difference, and as a result, investors are more likely to pay or receive a competitive price for securities / contracts purchased or sold. There may be a risk of lower liquidity in some securities / contracts as compared to active securities / contracts. As a result, your order may only be partially executed, or may be executed with relatively greater price difference or may not be executed at all.

1.2.1 Buying/selling without intention of giving and/or taking delivery of a security, as part of a day trading strategy, may also result into losses, because in such a situation, stocks may have to be sold/purchased at a low/high prices, compared to the expected price levels, so as not to have any obligation to deliver/receive a security.

1.3 Risk of Wider Spreads:

Spread refers to the difference in best buy price and best sell price. It represents the differential between the price of buying a security and immediately selling it or vice versa. Lower liquidity and higher volatility may result in wider than normal spreads for less liquid or illiquid securities / contracts. This in turn will hamper better price formation.

1.4 Risk-reducing orders:

Most Exchanges have a facility for investors to place “limit orders”, “stop loss orders” etc.”. The placing of such orders (e.g., “stop loss” orders, or “limit” orders) which are intended to limit losses to certain amounts may not be effective many a time because rapid movement in market conditions may make it impossible to execute such orders.

- 1.4.1 A “market” order will be executed promptly, subject to availability of orders on opposite side, without regard to price and that, while the customer may receive a prompt execution of a “market” order, the execution may be at available prices of outstanding orders, which satisfy the order quantity, on price time priority. It may be understood that these prices may be significantly different from the last traded price or the best price in that security.
- 1.4.2 A “limit” order will be executed only at the “limit” price specified for the order or a better price. However, while the customer receives price protection, there is a possibility that the order may not be executed at all.
- 1.4.3 A stop loss order is generally placed “away” from the current price of a stock / contract, and such order gets activated if and when the stock / contract reaches, or trades through, the stop price. Sell stop orders are entered ordinarily below the current price, and buy stop orders are entered ordinarily above the current price. When the stock reaches the pre-determined price, or trades through such price, the stop loss order converts to a market/limit order and is executed at the limit or better. There is no assurance therefore that the limit order will be executable since a stock / contract might penetrate the pre-determined price, in which case, the risk of such order not getting executed arises, just as with a regular limit order.

1.5 Risk of News Announcements:

Issuers make news announcements that may impact the price of the securities / contracts. These announcements

may occur during trading, and when combined with lower liquidity and higher volatility, may suddenly cause an unexpected positive or negative movement in the price of the security / contract.

1.6 Risk of Rumors:

Rumors about companies at times float in the market through word of mouth, newspapers, websites or news agencies, etc. The investors should be wary of and should desist from acting on rumors.

1.7 System Risk:

High volume trading will frequently occur at the market opening and before market close. Such high volumes may also occur at any point in the day. These may cause delays in order execution or confirmation.

- 1.7.1 During periods of volatility, on account of market participants continuously modifying their order quantity or prices or placing fresh orders, there may be delays in order execution and its confirmations.
- 1.7.2 Under certain market conditions, it may be difficult or impossible to liquidate a position in the market at a reasonable price or at all, when there are no outstanding orders either on the buy side or the sell side, or if trading is halted in a security due to any action on account of unusual trading activity or stock hitting circuit filters or for any other reason.

1.8 System/Network Congestion:

Trading on NSE is in electronic mode, based on satellite/ leased line based communications, combination of technologies and computer systems to place and route orders. Thus, there exists a possibility of communication failure or system problems or slow or delayed response from system or trading halt, or any such other problem/ glitch whereby not being able to establish access to the trading system/network, which may be beyond the control of and may result in delay in processing or not processing buy or sell orders either in part or in full. You are cautioned to note that although these problems may be temporary in nature, but when you have outstanding open positions or unexecuted orders, these represent a risk because of your obligations to settle all executed transactions.

2. As far as Futures and Options segment is concerned, please note and get yourself acquainted with the following additional features:-

2.1 Effect of “Leverage” or “Gearing”

The amount of margin is small relative to the value of the derivatives contract so the transactions are ‘leveraged’ or ‘gearing’. Derivatives trading, which is conducted with a relatively small amount of margin, provides the possibility of great profit or loss in comparison with the principal investment amount. But transactions in derivatives carry a high degree of risk. You should therefore completely understand the following statements before actually trading in derivatives trading and also trade with caution while taking into account one’s circumstances, financial resources, etc. If the prices move against you, you may lose a part of or whole margin equivalent to the principal investment amount in a relatively short period of time. Moreover, the loss may exceed the original margin amount.

- A. Futures trading involves daily settlement of all positions. Every day the open positions are marked to market based on the closing level of the index. If the index has moved against you, you will be required to deposit the amount of loss (notional) resulting from such movement. This margin will have to be paid within a stipulated time frame, generally before commencement of trading next day.
- B. If you fail to deposit the additional margin by the deadline or if an outstanding debt occurs in your account, the broker/member may liquidate a part of or the whole position or substitute securities. In this case, you will be liable for any losses incurred due to such close-outs.
- C. Under certain market conditions, an investor may find it difficult or impossible to execute transactions. For example, this situation can occur due to factors such as illiquidity i.e. when there are insufficient bids or offers or suspension of trading due to price limit or circuit breakers etc.
- D. In order to maintain market stability, the following steps may be adopted: changes in the margin rate, increases in the cash margin rate or others. These new measures may also be applied to the existing open interests. In such conditions, you will be required to put up additional margins or reduce your positions.
- E. You must ask your broker to provide the full details of the derivatives contracts you plan to trade i.e. the contract specifications and the associated obligations.

2.2 Currency specific risks

- 1. The profit or loss in transactions in foreign currency-denominated contracts, whether they are traded in your own or another jurisdiction, will be affected by fluctuations in currency rates where there is a need to convert from the currency denomination of the contract to another currency.
- 2. Under certain market conditions, you may find it difficult or impossible to liquidate a position. This can occur, for example when a currency is deregulated or fixed trading bands are widened.
- 3. Currency prices are highly volatile. Price movements for currencies are influenced by, among other things: changing supply-demand relationships; trade, fiscal, monetary, exchange control programs and policies of governments; foreign political and economic events and policies; changes in national and international interest rates and inflation; currency devaluation; and sentiment of the market place. None of these factors can be controlled by any individual advisor and no assurance can be given that an advisor’s advice will result in profitable trades for a participating customer or that a customer will not incur losses from such events.

2.3. Risk of Option holders

- 1. An option holder runs the risk of losing the entire amount paid for the option in a relatively short period of time. This risk reflects the nature of an option as a wasting asset which becomes worthless when it expires. An option holder who neither sells his option in the secondary market nor exercises it prior to its expiration will necessarily lose his entire investment in the option. If the price of the underlying does not change in the anticipated direction before the option expires to an extent sufficient to cover the cost of the option, the investor may lose all or a significant part of his investment in the option.
- 2. The Exchange may impose exercise restrictions and have absolute authority to restrict the exercise of options at certain times in specified circumstances.

2.4 Risks of Option Writers

- 1. If the price movement of the underlying is not in the anticipated direction, the option writer runs the risks of losing substantial amount.
- 2. The risk of being an option writer may be reduced by the purchase of other options on the same underlying interest and thereby assuming a spread

position or by acquiring other types of hedging positions in the options markets or other markets. However, even where the writer has assumed a spread or other hedging position, the risks may still be significant. A spread position is not necessarily less risky than a simple 'long' or 'short' position.

3. Transactions that involve buying and writing multiple options in combination, or buying or writing options in combination with buying or selling short the underlying interests, present additional risks to investors. Combination transactions, such as option spreads, are more complex than buying or writing a single option. And it should be further noted that, as in any area of investing, a complexity not well understood is, in itself, a risk factor. While this is not to suggest that combination strategies should not be considered, it is advisable, as is the case with all investments in options, to consult with someone who is experienced and knowledgeable with respect to the risks and potential rewards of combination transactions under various market circumstances.

3. GENERAL

3.1 Commission and other charges

Before you begin to trade, you should obtain a clear explanation of all commission, fees and other charges for which you will be liable. These charges will affect your net profit (if any) or increase your loss.

3.2 Deposited cash and property

You should familiarise yourself with the protections accorded to the money or other property you deposit

particularly in the event of a firm insolvency or bankruptcy. The extent to which you may recover your money or property may be governed by specific legislation or local rules. In some jurisdictions, property which has been specifically identifiable as your own will be pro-rated in the same manner as cash for purposes of distribution in the event of a shortfall. In case of any dispute with the member, the same shall be subject to arbitration as per the byelaws/ regulations of the Exchange.

- 3.3 For rights and obligations of the clients, please refer to Annexure-1 enclosed with this document.

- 3.4 The term 'constituent' shall mean and include a client, a customer or an investor, who deals with a member for the purpose of acquiring and/or selling of securities through the mechanism provided by NSE.

- 3.5 The term 'member' shall mean and include a trading member, a broker or a stock broker, who has been admitted as such by NSE and who holds a registration certificate as a stock broker from SEBI.

I hereby acknowledge that I have received and understood this risk disclosure statement and Annexure-1 containing my rights and obligations.

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Client Signature

(If Partner, Corporate, or other Signatory, then attest with company seal.)



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| <p>1.1 You should familiarise yourself with the protection accorded to the money or other property you may deposit with your member, particularly in the event of a default in the stock market or the broking firm's insolvency or bankruptcy.</p> <p>1.1.1 Please ensure that you have a documentary proof of your having made deposit of such money or property with the member, stating towards which account such money or property deposited.</p> <p>1.1.2 Further, it may be noted that the extent to which you may recover such money or property may be governed by the Bye-laws and Regulations of NSE and the scheme of the Investors' Protection Fund in force from time to time.</p> <p>1.1.3 Any dispute with the member with respect to deposits, margin money, etc., and producing an appropriate proof thereof, shall be subject to arbitration as per the Rules, Byelaws/Regulations of NSE or its Clearing Corporation/Clearing House.</p> <p>1.2 Before you begin to trade, you should obtain a clear idea from your member of all brokerage, commissions, fees and other charges which will be levied on you for trading. These charges will affect your net cash inflow or outflow.</p> <p>1.3 You should exercise due diligence and comply with the following requirements of the NSE and SEBI:</p> <p>1.3.1 Please deal only with and through SEBI registered members of the Stock Exchange and are enabled to trade on the Exchange.</p> <p style="padding-left: 20px;">All SEBI registered members are given a registration no., which may be verified from SEBI. The details of all members of NSE and whether they are enabled to trade may be verified from NSE website (www.nseindia.com).</p> <p>1.3.2 Demand any such information, details and documents from the member, for the purpose of verification, as you may find it necessary to satisfy yourself about his credentials.</p> <p>1.3.3 Furnish all such details in full as are required by the member as required in "Know Your Client" form, which may also include details of PAN or Passport or Driving Licence or Voters Id, or Ration Card, bank account and depository account, or any such details made mandatory by SEBI/NSE at any time, as is available with the investor.</p> <p>1.3.4 Execute a broker-client agreement in the form prescribed by SEBI and/or the Relevant Authority of</p> | <p>NSE or its Clearing Corporation/Clearing House from time to time, because this may be useful as a proof of your dealing arrangements with the member.</p> <p>1.3.5 Give any order for buy or sell of a security in writing or in such form or manner, as may be mutually agreed. Giving instructions in writing ensures that you have proof of your intent, in case of disputes with the member.</p> <p>1.3.6 Ensure that a contract note is issued to you by the member which contains minute records of every transaction. Verify that the contract note contains details of order no., trade number, trade time, trade price, trade quantity, name of security, client code allotted to you and showing the brokerage separately. Contract notes are required to be given/sent by the member to the investors latest on the next working day of the trade. Contract note can be issued by the member either in electronic form using digital signature as required, or in hard copy. In case you do not receive a contract note on the next working day or at a mutually agreed time, please get in touch with the Investors Grievance Cell of NSE without delaying.</p> <p>1.3.7 Facility of Trade Verification is available on NSE website (www.nse-india.com), where details of trade as mentioned in the contract note may be verified from the trade date upto five trading days. Where trade details on the website, do not tally with the details mentioned in the contract note, immediately get in touch with the Investors Grievance Cell of NSE.</p> <p>1.3.8 Ensure that payment/delivery of securities against settlement is given to the concerned member within one working day prior to the date of pay-in announced by NSE or it's Clearing Corporation / Clearing House. Payments should be made only by account payee cheque in favour of the firm/company of the trading member and a receipt or acknowledgement towards what such payment is made be obtained from the member. Delivery of securities is made to the pool account of the member rather than to the beneficiary account of the member.</p> <p>1.3.9 In case pay-out of money and/or securities is not received on the next working day after date of pay-out announced by NSE or its Clearing Corporation / Clearing House, please follow-up with the concerned member for its release. In case pay-out is not</p> |
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released as above from the member within five working days, ensure that you lodge a complaint immediately with the Investors' Grievance Cell of NSE.

1.3.10 Every member is required to send a complete 'Statement of Accounts', for both funds and securities settlement to each of its constituents, at such periodicity as may be prescribed by time to time. You should report errors, if any, in the Statement immediately, but not later than 30 calendar days of receipt thereof, to the member. In case the error is not rectified or there is a dispute, ensure that you refer such matter to the Investors Grievance Cell of NSE, without delaying.

1.3.11 In case of a complaint against a member/registered sub-broker, you should address the complaint to the Office as may be specified by NSE from time to time.

1.4 In case where a member surrenders his membership, NSE gives a public notice inviting claims, if any, from investors. In case of a claim, relating to "transactions executed on the trading system" of NSE, ensure that you lodge a claim with NSE/Clearing House within the stipulated period and with the supporting documents.

1.5 In case where a member is expelled from trading membership or declared a defaulter, NSE gives a public notice inviting claims, if any, from investors. In case of a claim, relating to "transactions executed on the trading system" of NSE, ensure that you lodge a claim with NSE within the stipulated period and with the supporting documents.

1.6 Claims against a defaulter/expelled member found to be valid as prescribed in the relevant Rules/Bye-laws and the scheme under the Investors' Protection Fund (IPF) may be payable first out of the amount vested in the Committee for Settlement of Claims against Defaulters, on pro-rata basis if the amount is inadequate. The balance amount of claims, if any, to a maximum amount of Rs.10 lakhs per investor claim, per defaulter/expelled member may be payable subject to such claims being found payable under the scheme of the IPF.

Notes:

1. The term 'constituent' shall mean and include a client, a customer or an investor, who deals with a trading member of NSE for the purpose of acquiring and / or selling of securities through the mechanism provided by NSE.
2. The term 'member' shall mean and include a member or a broker or a stock broker, who has been admitted as such by NSE and who holds a registration certificate as a stock broker from SEBI.
3. NSE may be substituted with names of the relevant exchanges, wherever applicable.



POLICIES AND PROCEDURES:

1. Refusal of orders for penny stocks:

Stock broker is advising to the clients not to deal in penny securities and if client deals with the penny stocks, 100% margin will be taken from the client and these shares will not be taken to as Margin deposit. The stock broker shall have authority from time to time limit (quantity/ value) or refuse orders in one or more securities due to various reasons including market liquidity, value of security(ies) or may require compulsory settlement / advance payment of expected settlement value/ delivery of securities for settlement prior to acceptance / placement of order(s) as well, the order being for securities which are not in the permitted list of the stock broker / exchange(s) / SEBI or does not commensurate with the risk profile of the client as assessed by the broker. Decision of Broker will be binding on the client and will be final.

2. Setting Up Client's Exposure Limits:

The client agrees to abide by the exposure limits, if any, set by the stock broker or by the Exchange or Clearing Corporation or SEBI from time to time. The client is aware and agrees that the stock broker may need to vary or reduce or impose new limits urgently on the basis of the stock broker's risk perception, risk profile of the client and other factors considered relevant by the stock broker including but not limited to limits on account of exchange/ SEBI directions/ limits (such as broker level/ market level limits in security specific / volume specific exposures etc.).

The stock broker may be unable to inform the client of such variation, reduction or imposition in advance. The client agrees that the stock broker shall not be responsible for such variation, reduction or imposition or the client's inability to route any order through the stock broker's trading system on account of any such variation, reduction or imposition of limits. Sometimes client's sauda may go to IOC (Immediate or Cancel) instead of normal bidding if broker terminal is on square off mode.

The Stock Broker at its sole discretion can give extra exposure or intraday limit to the client, such extra exposure will automatically be squared off by trading mechanism without any further reference to the client appx. 15 minutes before the scheduled closing.

3. Applicable Brokerage Rate

a. For Capital Market Segment:

The maximum brokerage chargeable in relation to trades effected in the securities admitted to dealings on the Capital Market segment of the Exchange shall be 2.5 % of the contract price exclusive of statutory levies. It is hereby further clarified that where the sale / purchase

value of a share is Rs.10/- or less, a maximum brokerage of 25 paise per share may be collected.

b. For Option contracts:

Brokerage for option contracts will be as per rules provided by the exchanges.

4. Imposition of penalty/delayed payment charges by either party, specifying the rate and the period (This must not result in funding by the broker in contravention of the applicable laws)

Client shall be liable to penalty and other charges on nonpayment of margin money, short selling of securities or units, failure on payment of auction, cheque bounce, non delivery of shares, increase open position or on any orders / trades / deals / actions of the client which are contrary to this agreement / rules / regulations / bye laws of the exchange or any other law for the time being in force as per Rules, Regulations, Guidelines and Circulars issued by SEBI and stock exchange time to time and client will be kept informed about the rate of such penalties & fines. Similarly in case of non receipt of full payment of value of delivery purchased, margin imposed (initial + MTM) interest will be charged atp.a. calculated on daily basis on shortfall amount till the date of actual realization of money.

All fines/penalties and charges levied upon the Client due to its acts / deeds or transactions will be recovered by the Stock Broker directly from the client's account.

5. The right to sell clients' securities or close clients' positions, without giving notice to the client, on account of non-payment of client's dues (This shall be limited to the extent of settlement/margin obligation)

1. If payment/securities towards the Margin or shortfall in Margin is not received instantaneously to enable restoration of sufficient Margin in the Client's account.

2. In case of benefit of margin will be given only after realization of instrument..

3. If the client gives orders / trades in the anticipation of the required securities being available subsequently for pay in through anticipated payout from the exchange or through borrowings or any off market delivery(s) and if such anticipated availability does not materialize in actual availability of securities / funds for pay in for any reason before the close of market .

4. The stock broker has the right but not the obligation, to cancel all pending orders and to sell/close/ liquidate all open positions/ securities / shares at the pre-defined square off time or when Mark to Market (M-T-M) percentage reaches or crosses stipulated margin

percentage mentioned on the website, whichever is earlier. Similarly all transactions outstanding under limit by whatsoever name called may be closed out at specified time if not squared off by the client.

5. In case open position (Le. short/long) gets converted into delivery due to non square off because of any reason whatsoever, the client agrees to provide securities/funds to fulfill the payin obligation failing which the client will have to face auctions or internal close outs; in addition to this the client will have to pay penalties and charges levied by exchange in actual and losses, if any.
6. Any reference in these terms to sale or transfer of securities by the Stock Broker shall be deemed to include sale of the securities which form part of the Margin maintained by the Client with the Stock Broker.

6. Shortages in obligations arising out of internal netting of trades

The policy and procedure for settlement of shortages in obligations arising out of internal netting of trades is as under:

- a. The short delivering client is debited by an amount equivalent to 20% above of closing rate of day prior to Pay-in/Payout Day. The securities delivered short are purchased from market on T +3 day which is the Auction Day on Exchange, and the purchase consideration (inclusive of all statutory taxes & levies + 5 % extra) is debited to the short delivering seller client along with reversal entry of provisionally amount debited earlier.
- b. If securities cannot be purchased from market due to any force majeure condition, the short delivering seller is debited at the closing rate on T +3 day or Auction day on Exchange +10%. Where the delivery is matched partially or fully at the Exchange Clearing, the delivery and debits/credits shall be as per Exchange Debits and Credits after deducting exchange penalties.
- c. In cases of securities having corporate actions all cases of short delivery of cum transactions which cannot be auctioned on cum basis or where the cum basis auction payout is after the book closure / record date, would be compulsory closed out at higher of 10% above the official closing price on the auction day or the highest traded price from first trading day of the settlement till the auction

7. Conditions under which a client may not be allowed to take further position or the broker may close the existing position of a client.

The stock broker may refuse to execute / allow execution of orders due to but not limited to the reason of lack of margin / securities or the order being outside the limits set by stock broker / exchange/ SEBI and any other reasons which the stock broker may deem appropriate in the circumstances.

1. for non-payment or erosion of margins or other amounts,

outstanding debts, etc. and adjust the proceeds of such liquidation / close out, if any, against the client's liabilities/ obligations.

2. Any order which is executed without the required Margin in the Client's account or the brokers exposure is more than 90% and above so no fresh trade will be taken.
3. The client hereby authorizes the Stock Broker to square up all his outstanding positions at the discretion of the Stock Broker, which are not marked for delivery 15 minutes before the closing time of the normal market or if the client's margin is evaporated by 90% in any of exchanges, member reserves the right to square off positions.
4. Under certain market conditions, it may be difficult or impossible to liquidate a position in the market at a reasonable price or at all, when there are no outstanding orders either on the buy side or the sell side, or if trading is halted in a security due to any action on account of unusual trading activity or stock hitting circuit filters or for any other reason as prescribed or instructed by SEBI.
5. The stock broker is entitled to disable / freeze the account or trading facility / any other service if, in the opinion of the stock broker, the client has committed a crime, fraud or has acted in contradiction of this agreement or / evade / violate any laws, rules, regulations, directions of a lawful authority whether Indian or foreign or if the stock broker so apprehends.

Any profit/loss arising out of these transactions shall be at the risk of and borne by the client.

8. Temporarily suspending or closing a client's account at the client's request

Client may instruct the member to close out the account or suspend the trading through client's account for the period as specified in the request in written and duly signed by him.

The stock broker can with hold the payouts of client and suspend his trading account due to his surveillance action or judicial or / and regulatory order/action requiring client suspension.

9. Deregistering a client

A client is at liberty to deregister himself / itself from the member. For that purpose client will be liable first to settle his account in full. In case of any shortfall or any dues or payment remaining after adjusting the margin account, the client will be liable to make payment of the same. And in case of surplus arising out after netting of account, client shall be entitled to receipt of the same.

The member shall also have power to deregister the client after settling his account at its sole discretion.

Shehanshah Shares & Stocks Pvt. Ltd.

Trading Member NSE

Plot No. 19, Pocket C-9, Sector-7, Rohini, Delhi-110085

Phone : 011- 43241100 (12 Lines), 011 - 27041100, Fax : 011- 45171100

Website : www.shehanshah.com E-mail: info@shehanshah.com

As our HUF firm wishes to open an account with you in the said namewe beg to say that the first signatory to this letter, i.e.,is the Karta of the Joint Family and other signatories are the adult co-parceners of the said family.

We further confirm that the business of the said joint family is carried on mainly by the said Karta as also by the other signatories hereto in the interest and for the benefit of the entire body of co-parceners of the joint family. We all undertake that claims due to the member from the said family shall be recoverable personally from all or any of us and also for the entire family properties of which the first signatory is the Karta, including the share of minor co-parceners

In view of the fact that ours is not a firm governed by the Indian Partnership Act of 1952, we have not got our said firm registered under the said Act.

We hereby undertake to inform the member of the death or birth of a co-parcener of any change occurring at any time in the membership of our joint family during the currency of the account.

Name & signature of Karta

Name..... Signature.....

Name & Signature of Adult Co-parceners (Use Annexure for additional Members)

S. No.	Name	Signature
1.	Signature.....
2.	Signature.....
3.	Signature.....
4.	Signature.....

Name & Date of Birth of Minor-Co-parceners

S. No.	Name	Signature
1.	Signature.....
2.	Signature.....
3.	Signature.....
4.	Signature.....

This agreement is made at NEW DELHI this.....
day of between M/s.
 Shehanshah Shares & Stocks Pvt. Ltd., a body corporate, (member
 of National Stock Exchange of India Ltd. with SEBI Registration
 No.: INB 231301830/ INF231301830 The Stock Exchange with
 SEBI registration no. INE231301830 hereinafter called MEMBER
 and having its registered office at Plot No. 19, Pocket C-9, Sector-
 7, Rohini, Delhi-110085,

And

.....a
 individual/company/trust/firm or any other body duly formed and
 registered under the relevant Act, hereinafter called the CLIENT,
 having its residence/registered office at

WITNESSETH AS FOLLOWS:

Whereas the MEMBER is registered as TRADING MEMBER of
 National Stock Exchange of India Ltd. with SEBI Registration No.:
 INB231301830/INF231301830/ INE231301830.

Whereas the CLIENT is desirous of investing/trading in those
 securities admitted for dealing on the Exchange as defined in the
 Bye-Laws of the Exchange.

Whereas the CLIENT has satisfied itself of the capability of the
 MEMBER to deal in securities and wishes to execute his orders
 through him and the CLIENT shall continue to satisfy itself of such
 capability of the MEMBER before executing orders through him.

Whereas the MEMBER has satisfied and shall continuously satisfy
 himself about the genuineness and financial soundness of the
 CLIENT and investment objectives relevant to the services to be
 provided.

Whereas the MEMBER has taken steps and shall take steps to
 make the CLIENT aware of the precise nature of the MEMBER's
 liability for business to be conducted, including any limitations on
 that liability and the capacity in which it acts.

In consideration of the mutual understanding as set forth in this
 agreement, the parties thereto have agreed to the following terms
 and conditions:

1. The provisions of this agreement shall always be subject to Government notifications, any rules, regulations and guidelines issued by SEBI and Stock Exchange rules, regulations and Bye-laws that may be in force from time to time.
2. In the event of death or insolvency of the client or his otherwise becoming incapable of receiving and paying for or delivering or transferring securities which the client has ordered to be bought or sold, MEMBER may close out the transaction of the client and the client or his legal representative shall be liable for any losses, costs and be entitled to any surplus which

may result therefrom.

3. The agreement entered into between the MEMBER and the CLIENT shall stand terminated by mutual consent of the parties by giving at least one month written notice. Such cancellation or termination shall not have any effect on transaction executed before the date of such notice of termination and the parties shall enjoy the same rights and shall have same obligations in respect of such transactions.
4. The instructions issued by an authorized representative of the client shall be binding on the client in accordance with the letter authorizing the said representative to deal on behalf of the client.
5. The CLIENT is aware that authentication technologies and strict security measures are required for the internet trading through order routed system and undertakes to ensure that the password of the CLIENT and/or his authorised representative are not revealed to any third party.
6. The CLIENT agrees that the MEMBER shall not be liable or responsible for non-execution of the orders of the CLIENT due to any link/system failure at the CLIENT/ MEMBERS/ EXCHANGE end. The Stock Exchange may cancel a trade suo-moto without giving any reason thereof.
7. In the event of such cancellation, MEMBER shall be entitled to cancel relative contract(s) with CLIENT.
8. The MEMBER shall also send the Order/Trade confirmation slip through E-mail to the CLIENT at his request, within(time period as specified by the Client) from the time of execution of order/trade on the NEAT system, as the case may be. The CLIENT agrees that the information sent by MEMBER by E-mail is deemed to be a valid delivery of such information by the MEMBER.
9. The CLIENT is aware that the MEMBER has provided on the web site a facility for reconfirmation of orders, which are larger than that specified by the MEMBER's risk management, by the MEMBER and is also aware that the MEMBER has the discretion to reject the execution of such orders based on his risk perception.
10. The Member and the Client are aware of the provisions of Bye-Laws, Rules and regulations of the Exchange relating to resolution of disputes/differences through the mechanism of arbitration provided by the Exchange and agree to abide by the said provisions.
11. All trades, transactions and contracts are subject to the Bye-Laws, Rules and Regulations of the Exchange and shall be deemed to be and shall take effect as wholly made, entered

into and to be performed in the city of Mumbai and the parties to such trade shall be deemed to have submitted to the jurisdiction of the Courts in Mumbai for the purpose of giving effect to the provisions of the Rules and Regulations of the Exchange.

or restrict the Client's access to the use of the website or related services and the Client's ability to trade. The Client will bear any loss that he/she/it may be faced with due to inability to execute further trades on account of such restriction.

Terms and conditions for transactions executed on the internet website

1. The Client will be entitled to a username and password, which will enable him to access the Member's Website for availing of the Service.
2. The Client shall be responsible for keeping the Username and Password confidential and secure and shall be solely responsible for all orders entered and transactions done by any person whatsoever through the Member's Website using the Client's Username and/or Password whether or not such person was authorised to do so.
3. The Client shall immediately inform the Member of any unauthorised use of the Client's Username or Password with full details of such unauthorised use including the date of such unauthorised use, the manner in which it was unauthorisedly used, the transactions effected pursuant to such unauthorised use, etc.
4. The Client acknowledges that the he is fully aware of and understands the risks associated with availing of a service for routing orders over the internet including the risk of misuse and unauthorised use of his Username and/or Password by a third party and the risk of a person hacking into the Client's account on the Member's Website and unauthorisedly routing orders on behalf of the Client through the System. The Client agrees that he shall be fully liable and responsible for any and all unauthorised use and misuse of his Password and/or Username and also for any and all acts done by any person through the Member's Website on the Client's Username in any manner whatsoever.
5. The Client understands that, the Member may, at any time, at its sole discretion and without prior notice to the Client, prohibit

6. The Client understands that the Exchange asserts a proprietary interest in all of the market data it furnishes, directly or through the Member or otherwise. The Client understands that the Exchange does not guarantee the timeliness, sequence, accuracy or completeness of market data or any other market information, or any messages disseminated by it. Neither the Member nor the Exchange shall be liable in any way for incorrect, misleading, incomplete or dated data or information and, if the Client acts on the basis of the same, he shall do so at his own risk and cost.
7. All orders for purchase, sale or other dealings in securities and other instructions routed through the Member's Website via the Client's User name shall be deemed to have been given by the Client.
8. When the Client places a request to cancel an order, the cancellation of that order is not guaranteed. The order will only be cancelled if the Client's request for cancellation is received and the order is successfully cancelled before it is executed.
9. The Client shall not be entitled to presume an order as having been executed or canceled until a confirmation from the Member is received by the Client.
10. Company at its sole discretion can give extra exposure or intraday limit to the client, such extra exposure will automatically be squared off by trading mechanism without any further reference to the client appx. 15 minutes before the scheduled closing or if the client's margin is evaporated by 90% in any of exchanges, Shehanshah reserves the right to square off positions.

I/We hereby confirm you to send my/our Username and Password on the below mentioned e-mail address.

E-mail ID :



This agreement forms part and parcel of the agreement between stock broker and client

This agreement is made at this..... day ofbetween M/s. Shehanshah Shares & Stocks Pvt. Ltd., (member of National Stock Exchange of India Ltd. With SEBI Registration No.: INB/INF/INE231301830), hereinafter called MEMBER, and having its registered office at Plot No. 19, Pocket C-9, Sector-7, Rohini, Delhi-110085,

And

..... a individual/ company/ trust/ firm or any other body duly formed and registered under the relevant Act, hereinafter called the CLIENT, having its residence/registered office at

WITNESSETH AS FOLLOWS:

Whereas the MEMBER is registered as TRADING MEMBER of National Stock Exchange of India Ltd. with SEBI Registration No. : INB/INF/INE231301830.

Whereas the CLIENT is desirous of investing/trading in those securities admitted for dealing on the Exchange as defined in the Byelaws of the Exchange.

Whereas the CLIENT has satisfied itself of the capability of the MEMBER to deal in securities and wishes to execute his orders through him and the CLIENT shall continue to satisfy itself of such capability of the MEMBER before executing orders through him.

Whereas the MEMBER has satisfied and shall continuously satisfy himself about the genuineness and financial soundness of the CLIENT and investment objectives relevant to the services to be provided.

Whereas the MEMBER has taken steps and shall take steps to make the CLIENT aware of the precise nature of the MEMBER's liability for business to be conducted, including any limitations on that liability and the capacity in which it acts.

In consideration of the mutual understanding as set forth in this agreement, the parties thereto have agreed to the following terms and conditions:

1. The trading and other instructions for facilitating and carrying out business issued telephonically or through any other means whether express or implied by an authorised representative of the client shall be binding on the client. The client hereby authorizes his representative(s)..... orto trade and transact in securities for and on behalf of the client. In the event of the authorised representative(s) being replaced, it shall be the responsibility of the client to inform the Stock

- Broker of the change in writing, failing which the client shall be responsible for the trade obligations arising out of the actions of both the representative, i.e. old as well as the new representatives.
- 2. The Client is aware that the Stock Broker may tape-record the conversations between Client's representative and the Stock Broker, either personally or over the telephone, and hereby specifically permits the Stock Broker to do so. Such recordings may be relied upon by the Stock Broker as and when required to resolve disputes in connection with the trading transaction.
- 3. The Exchange(s) may cancel a trade suo moto without giving any reason thereof. There may be insufficient bids or offers or suspension of trading due to price limits or circuit breakers. The electronic trading systems either at the exchange or in the Stock Broker's offices are vulnerable to temporary disruptions or failures. In the event of trade cancellation due to such events or vulnerabilities, the Stock Broker shall be entitled to cancel relative contract(s) with the client. At times, due to such unforeseen circumstances the Stock Broker may not be able to execute the desired transactions (either the clients own transactions or transactions for enforcing margins as provided in this agreement) on a timely basis. The Stock Broker does not accept responsibility for any losses that the client may incur on such eventualities beyond the control of the Stock Broker.
- 4. In the event the Client transacts in more than one stock exchange or in different segments in one exchange or across exchanges, the client specifically authorizes the Stock Broker to set off the outstanding in the Client's account maintained by the Stock Broker in respect of any stock exchange or any segment of any stock exchange against the money standing to the credit of the client's account maintained by the Stock Broker in respect of any other stock exchange or any other segment in any other stock exchange.
- 5. The Stock Broker may required that the client should deposit interest-free cash deposit as margin before purchase of securities which may be equal to the exchange prescribed margin or more than. In case of cheque, purchase will be allowed only after realisation of cheque only in exceptional circumstances stock broker may allow purchase of securities. The same will apply in case of payment received / remittance through RTGS/ NEFT/SWIFT or electronic transfer.
- 6. The Stock Broker may required the client to deposit interest free margin as per exchange or more/less in the form of cash

or securities on the price of the securities proposed to be sold, unless the Stock Broker has received the securities to be sold with valid transfer documents to the Stock Broker's satisfaction prior to such sale.

7. The Client agrees and authorizes the Stock Broker to determine the market value of securities placed as Margin after applying a haircut that the Stock Broker considered appropriate. The Client positions are valued at the latest market price available ('marked to market') on a continuous basis by the Stock Broker. The Client undertakes to monitor the adequacy of the collateral and the Market value of such securities on a continuous basis. If due to price fluctuations, there is erosion in the value of the margins, the Client agrees to replenish any shortfall in the value of the margins immediately, whether or not the Stock Broker intimates such shortfall.
8. The Stock Broker may at its sole discretion prescribe the payment of Margin in the form of cash instead of securities. The Client accepts to comply with the Stock Broker's requirement of payment of Margin in the form of cash immediately failing which the Stock Broker may sell, dispose, transfer or deal in any other manner the securities already placed with it as Margin or square off all or some of the positions of the Client as it deems fit in its discretion without further reference to the Client and any resultant or associated losses that may occur due to such square off/sale shall be borne by the Client, and the Stock Broker is hereby fully indemnified and held harmless by the Client in this behalf.
9. The Client agrees that any securities placed by him/her/it as Margin may in turn be placed as margin by the Stock Broker with the Exchange or banks or such other institutions as the Stock Broker may deem fit for raising of finance against these securities. The Client authorizes the Stock Broker to do all such acts, deeds and things as may be necessary and expedient for placing such securities with the Exchanges / banks / institutions as margin.
10. Any reference in these terms to sale or transfer of securities by the Stock Broker shall be deemed to include sale of the securities which form part of the Margin maintained by the Client with the Stock Broker. In exercise of the Stock Brokers right to sell securities under the Agreement, the Client agrees that the choice of specific securities to be sold shall be solely at the Stock Broker's discretion.
11. The Client is responsible for all orders, including any orders that may be executed without the required Margin in the Client's account. If the Client's order is executed despite a shortfall in the available Margin, the Client shall, whether or not the Stock Broker intimates such shortfall in margin to the client, instantaneously make up the shortfall either through delivery of shares in the event of a sale or credit the required

funds in the bank account via wire or personal cheque, cashier's cheque or money order or account transfer or any other mode.

12. If payment/securities towards the Margin or shortfall in Margin is not received instantaneously to enable restoration of sufficient Margin in the Client's account, all or some of the positions of the Client as well as the securities placed as Margin may be liquidated by the Stock Broker at its sole discretions, without any reference or prior notice to the Client. The resultant or associated losses that may occur due to such squaring off or sale of securities shall be borne by the Client, and the Stock Broker is hereby fully indemnified and held harmless by the Client in this behalf. Such liquidation or close out of positions shall apply to any segment in which the Client does business with the Stock Broker.
13. In case of purchase on behalf of Client, the Client authorizes the Stock Broker to close out the transactions by selling the securities, in case the client fails to make full payment to the Stock Broker for the execution of the contract within 24 hours of trade or before pay-in-day (as fixed by stock exchange for the concerned settlement period), whichever is earlier, unless the client already as an equivalent credit with the Stock Broker, the loss incurred in this regard, if any, will be met from the margin money of the client. The client agrees to make good the shortfall, if any, within two days of being intimated of the shortfall by the Stock Broker.
14. In case of sales on behalf of client, the client authorizes the Stock Broker to close out the contract by effecting purchases if the client fails to deliver the securities sold with valid transfer documents within 24 hours of the trade or before delivery day (as fixed by stock exchange authorities for concerned settlement period), whichever is earlier. Loss on transaction, if any, will be deductible from the margin money of the client. The client agrees to make good the shortfall, if any, within two days of being intimated of the shortfall by the Stock Broker.
15. Notwithstanding anything contained in these presents, any amounts which are overdue from the client or a member of the client's family towards trading either in the Capital Market, F&O or derivatives segments or on account of any other reason to the Stock Broker or to any of the Stock Broker's group or associate companies will be charged delayed payment charges at the rate of 1.5 % per month or such other rate as may be determined by the Stock Broker. The client hereby authorizes the Stock Broker to directly debit the same to the account of the Client at the end of each month. The client also authorizes the Stock Broker to debit charges for depository services to the trading account.

- a. The client also agreed that any outstanding amount in his account or his spouse/ relative or any company in which he is director or substantial share holder, shall be adjusted by the stock broker out of credit balance/shares or securities in any other aforesaid account.
 - b. In case where client is a company, it authorized adjustments of any debit balance out of credit balance of any other company in which there is atleast one common director or having same share holder or group of share holders having substantial interest or from the credit balance/shares or securities in individual account of the directors. The company further assures that required permission and resolutions/ authorization has been passed as per the law.
 - c. Client also agrees that any balance/ shares or securities outstanding in his account for purchase & sale of shares / securities etc. would be adjustable inter-se company or its segments.
16. The Stock Broker shall send the trade confirmation to the client in form of contract note, digitally signed contract note or otherwise via mail, e-mail, fax, courier, Registered A.D., oral communication, putting them on web server or otherwise at the postal address, telephone / fax nos. E-mail addresses intimated by the client to the Stock Broker. The Client understand that it is his / her / its responsibility to review the trade confirmations, the contract notes, the bills or statements of account immediately upon their receipt. All information contained therein shall be binding upon the client, if the client does not object in writing to any of the contents within twenty-four hours of such intimation / confirmation. In all cases, the Stock Broker reserves the right to determine the validity of the client's objection to the transaction. The client agrees that the Stock Broker will not be responsible for the non-receipt of the trade confirmation due to any change in the correspondence address of the client not intimated to the Stock Broker in writing.
 17. The Client above named does hereby directs and authorizes the Stock Broker above named and his / its agents / employees and authorized persons to receive telephonic, verbal, electronic instructions such as email / fax etc. and instructions through agents and to execute the same by placing; orders, order modification requests or order cancellation requests etc. on his behalf on the trading system of The National Stock Exchange Ltd. and agrees to indemnify the trading member from consequences thereof and to hold the stock broker harmless from any losses or consequences arising out of execution or non execution of orders given through any or all the media enumerated above.
 18. The Client agrees that all orders entered into the trading system of The National ®Stock Exchange Ltd. on his behalf by the trading member and his / its agents / employees and authorized persons from shall be binding on him. The Client agrees to indemnify and keep indemnified the stock broker and his/its agents/ employees and authorized persons from any consequences of such orders placed on his behalf including the losses or any other consequences flowing out of them. The Client agrees that it shall not be open to him to challenge the correctness or otherwise of an order placed or an order not placed on his behalf and agrees to be liable for all orders placed on his behalf, and trades resulting there from, by the trading member and his / its agents / employees and authorized persons.
 19. The Client further undertakes to collect or get collected from the Stock Broker and his / its agents / employees and authorized persons the Order placement / Modification slip, order cancellation details, trade confirmation slips and contract notes etc. and agrees to indemnify and hold the trading Stock Broker harmless for any delay in such collection and non-collection by him of his Order placement / modification slip, order cancellation details, trade confirmation slips and contract notes etc. from the office of the trading Stock Broker. The client understands that it is his responsibility to review the trade confirmation, receive / view/save the contract notes/digital contract notes. And that all information contained therein shall be binding upon the Client.
 20. The Client further agrees and accepts that transmission of document/records/data and information including but not limited to contract notes (including digital contract notes, and other electronic documents) through ordinary post, UPC, Courier/speedpost/registered post/electronic mail i.e., email/electronic mail attachment/ download available from website or fax to his last known business and/or residential address and/or E-mail address and/or fax no. shall be deemed to have been properly delivered or served even if such communication is returned as unclaimed/ refused/ undelivered.
 21. The client agrees that the Stock Broker will not be responsible for the non-receipt of the trade confirmation/contract notes or any other data/information due to any change in the address/ E-mail address etc. not intimated to the trading Stock Broker in writing. The client also understands that he will have to down load the data / information within a week of the trading date and the Stock Broker shall be at liberty to delete old data that is to say data more than a week old from the web server with out any notice.

22. Type of services offered: The Stock Broker agrees to provide, and the Client agrees to avail of, the following services:

Trading facilities

And such other services as permitted by SEBI and the Exchanges from time to time.

- 23. All trades, transactions and contracts are subject to the Rules and Regulations of the respective Exchange(s) on which the trade have been executed and the parties to such trade shall be deemed to have submitted to the jurisdiction of the courts in Delhi for the purpose of giving effect to the provisions of the Rules and Regulations of the Exchange(s).
- 24. The CLIENT shall indemnify and keep indemnified the Stock Broker harmless from and against all claims, demands, actions, proceedings, losses, damages, liabilities, charges and / or expenses that are occasioned or may be occasioned to the Stock Broker directly or indirectly, owing to bad delivery of shares / securities and / or as a result of fake / forged / stolen shares / securities / transfer documents that are introduced or that may be introduced by or through the CLIENT during the course of its dealing / operations on NSE.

25. In case the client buys securities in one settlement, and sells the same in a subsequent settlement, without having received the payout of the securities, any resulting auction / losses will be the sole responsibility of the client.

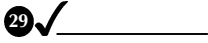
- 26. The client confirms having read and understood the terms and conditions of the member client agreement and those relating to various services and products and accepts and agrees to be bound by the terms and conditions including those excluding / limiting the Stock Broker's and Exchange's liabilities.
- 27. The member and client are aware of the provisions of by laws, rules and regulations of the Exchange relating to resolution of disputes / differences through the mechanism of arbitration provided by the Exchange and agrees to abide by such provisions.

28. Policy regarding treatment of inactive accounts:

When Trade is not done in an account for more than 3 months, Shehanshah reserves the right to temporary suspend the operations. The Management may allow operations in such account after making such verification as it deems fit.

IN WITNESS WHEREOF, the Parties hereto have executed this Agreement as of the day and year first above written.

The Client's Signature/ Authorized Signatory:

Signed by:	
Title:	
Name of the client:	
Witness:	
1.	
2.	

For Shehanshah Shares & Stocks Pvt. Ltd.

Signed by:	
Title:	
Name of the client:	
Witness:	
1.	
2.	



To,

Shehanshah Shares & Stocks Pvt. Ltd.**Trading Member NSE**

Plot No. 19, Pocket C-9, Sector-7, Rohini, Delhi-110085

Phone : 011- 43241100 (12 Lines), 011 - 27041100, Fax : 011- 45171100

E-mail: info@shehanshah.com

Sub : Letter of Authority - NSE Segment

I/We am/are dealing in securities with you at NSE (CM, F&O and Currency derivative segment) and in order to facilitate ease of operations, we authorize you as under :

1. Running Account:

That I/We desirous of regularly dealing in CM, F&O and Currency derivative segment of securities and request you to maintain a Running Account for funds and securities on my/our behalf without setting the account on settlement of each transaction on my/our behalf. I/we further agreed with the stock-broker all the terms and conditions of Running Account mentioned in SEBI circular no MIRSD/ SE /Cir-19/2009 dated December 3, 2009 and further request you to settle my a/c with broker for funds/securities at the end of every month/ quarter. I also authorize the broker to retain my funds expected to be required to meet margin obligations for next 5 trading days, calculated in the manner specified by the exchanges.

2. Set of Outstanding:

I/We authorize you to set off outstanding in any of my / our accounts against credits available or arising in any other accounts maintained with you irrespective of the fact that such credits in the accounts may pertain to transactions in any segment of the Exchange and / or against the value of cash margin or collateral shares provided to you by me / us.

3. Delivery of Order/ Trade Confirmation/ Cancellation:

I/We hereby authorize you not to provide me / us order confirmation / Modification / Cancellation Slips and Trade Confirmation slips to avoid unnecessary paper work. I/we shall get the required details from contract notes and confirmation issued by you.

4. Adjustment of Balances in Family Accounts:

S. No.	Client Code of Family Members/ Associates	Name of Family Members/ Associates	Category	Name of Father / Spouse

I/We authorize you to adjust the credit balance available in my account of any segment against any debit balance of any segment outstanding in the account of above mentioned family members or any other party or company with whom /in which I'm otherwise interested or director in the aforesaid company (Names given below).

.....

5. Authorization to Use Margin:

I hereby authorize you to keep all the securities which we give you in margin including the payout of securities received, to use the securities for meeting margin / other obligation in stock exchange in whatever manner which may include pledging of shares in favour of bank and/or taking loan against the same for meeting margin/pay-in obligation on our behalf or for giving the same as margin to the Stock Exchange or otherwise.

I further authorize the stock broker as per the requirement of SEBI Circular no. MIRSD/ SE /Cir-19/2009 dated December 3, 2009 that in case of any outstanding obligations on the settlement date, the stock broker may retain the requisite securities/funds towards such obligations and may also retain the funds expected to be required to meet margin obligations for next 5 trading days, calculated in the manner specified by the exchanges.

6. Telephonic Conversation:

I/We request you to consider my/our telephonic instructions for order placing/order modification/order cancellation as a written instruction and give us all the confirmation on telephone unless instructed otherwise in writing. I/We am/are getting required details from contracts issued by you.

7. Collection of Notes, Bills etc.

I/We will collect from your office contract notes. Bills, securities, etc. as per my/our convenience and these are not to be dispatched at my address given to you.

8. Electronic Mode of delivery:

I/We request that you may send/ Dispatch me contract notes other documents through E-mail: on my designated E-mail address of..... I/We stress that I/we will not hold you responsible under any circumstances in the event of an E-mail which you send gets bounced due to any reason such as mail box being full, inactive account or due to any technical reason beyond your control.

9. Log Report:

I/We will completely rely on the log reports of your dispatching software as a conclusive proof of dispatch of E-mail to me and will not dispute the same.

10. Change in E-mail Id:

I/We will inform you any change in my E-mail, if any, in future, in physical mode by regd. post or by hand delivery and through a digitally signed E-mail.

11. Electronic Trading:

Trading of NSE is in Electronic Mode, based on VSAT, leased line, ISDN, Modem and VPN, combination of technologies and computer systems to place and route orders. I understand that there exists a possibility of communication failure or system problems or slow or delayed response from system or trading halt, of any such other problem/glitch whereby not being able to establish access to the trading system/network, which may be beyond the control of you and may result in delay in processing or not processing buy or sell orders either in part or in full. I agree that I shall be fully liable and responsible for any such problems glitch.

12. Sub Letting of Terminal:

I/We confirm that I/we will never sublet the trading terminal on any term of connectivity from my place to any other place without your prior approval.

13. Inter Settlement of Securities:

I/We am/are agreeable for inter-settlement transfer of securities towards settlements.

14. Hold of funds Pay outs:

I/We am/are agreeable for & authorise you to with hold funds pay-out towards all the applicable margins and debits.

15. Fines & Penalties:

All fines/penalties and changes levied upon you due to my acts / deeds or transactions may be recovered by you from my account.

16. Charges & Balance Maintenance:

I/We have a Trading relationship with Shehanshah Shares & Stocks Pvt. Ltd. Please debit the charges for relevant services and courier charges for sending physical contract notes & other documents from my/our trading account on monthly basis. I/We also agree to maintain the adequate balance in my/our trading account / pay adequate advance fee for the said reason.

* Strike off the clauses not relevant to you.

Thanking you,

Yours faithfully,

31 ✓ _____

(Client Signature)

FORMAT OF LATEST SHAREHOLDING PATTERN OF THE COMPANY/ FIRM

(To be printed on the pre-printed letter head of the company)

I/we M/s declare that the several persons whose names & addresses are subscribed below are holding the shares in the capital of the company :

S. No.	Name, Father's Name & Address of the subscriber	No. of equity shares taken by subscriber
1.		
2.		
3.		
4.		

Thanks & Regards

For..... (name of company)

Signature

(Director)

Signature

(Director)

FORMAT OF LIST OF DIRECTORS OF THE COMPANY/ FIRM

Annexure - C

(To be printed on the pre-printed letter head of the company)

List of Director's of M/s

S. No.	Name Father's Name	Residence Address	Designation	Photograph	Specimen Signature
1.					
2.					
3.					
4.					

Thanks & Regards

For..... (name of company)

Signature

(Director)

Signature

(Director)

FORMAT OF BOARD RESOLUTION IN CASE OF CORPORATES

Annexure-D

(To be printed on the pre-printed letter head of the company)

CERTIFIED TRUE COPY OF THE RESOLUTION PASSED IN THE MEETING OF THE BOARD OF DIRECTORS OF
..... **HAVING ITS REGISTERED OFFICE AT** **HELD**
ON **DAY OF** **20** **AT**

Resolved that the company is empowered to deal on capital market segment & futures & options segment, retail debt segment or any other segment that may be introduced by NSE and in pursuance of the same do enter into agreement with Shehanshah Shares & Stocks Pvt. Ltd., member of the National Stock Exchange of India Ltd. (NSEIL). The said trading member be and is hereby authorized to honor instructions, oral or written given on behalf of the company by any of the under noted authorized signatories:

S. No.	Name	Designation	Specimen Signature

who is/are authorized to sell, purchase, transfer, endorse, negotiate documents and/or otherwise deal through Shehanshah Shares & Stocks Pvt. Ltd. on behalf of the company.

For

Director/Authorized signatory

(Name of company with common seal)

FORMAT OF AUTHORITY LETTER IN FAVOUR OF MANAGING PARTNER/S

Annexure - C

(In case of Partnership firms - To be printed on pre-printed letterhead of firm)

To,

Shehanshah Shares & Stocks Pvt. Ltd.

C-9/19, Sector-7, Rohini, Delhi-110085

Dear Sir,

We the partners of M/s a partnership firm, having its office at City state hereby authorize Mr./Ms. and Mr./Ms. to open a securities trading account in Capital Market segment/F&O segment/ Currency Derivatives segment/ Interest Rate Futures Segment on behalf of the firm with the stock Broker M/s Shehanshah Shares & Stocks Pvt. Ltd. for sale and purchase of shares or any other segment that may be introduced by NSE in future & options segments (F&O) and/or any other segment that may be introduced by NSE in future. He/she/they is/are authorized on behalf of the firm to deal in equities/derivatives and the said stock broker is hereby authorized to honor all instructions oral or written given on behalf of the firm by him/her/them.

Mr./Ms. & Mr./Ms. is/are authorized to sell, purchase, transfer endorse, negotiate documents and/or otherwise deal through M/s Shehanshah Shares & Stocks Pvt. Ltd. on behalf of the firm. He/she/they is/are also authorized to sign., execute and submit such applications, undertakings, agreements & other requisite documents, writings and deeds as may be deemed necessary or expedient to open account and give effect to this purpose. However any partner/authorized to signatory(ies) can issue cheques from bank account(s) in favour of M/s Shehanshah Shares & Stocks Pvt. Ltd. for credit to share trading account of the firm with M/s Shehanshah Shares & Stocks Pvt. Ltd. even though his/their signatures may not be available on the records of M/s Shehanshah Shares & Stocks Pvt. Ltd.

We also recognize that a beneficiary account cannot be opened with a Depository Participant in the name of the partnership firm as per Depository regulations. To facilitate the operation of the above trading account with you and for the purpose of completing the securities transfer obligations, pursuant to the trading operations. We authorize you to recognize the beneficiary account no with depositor having DP-ID IN..... opened as a jointly in the name of partners of the firm. We agree that the obligations for shares purchased and/or sold by the firm will be handled and completed through transfers to/from the above mentioned account. We recognize and accept transfers made by you to the beneficiary account as complete discharge of obligations by you in respect of trades executed in the above trading account of the firm

Thanking You

Yours Truly

Signatures :.....

Name :.....

DECLARATION FOR MOBILE NO.**VOLUNTARY**

I,.....having PAN No.....do hereby declare that my mobile no.

is..... Further, I authorize Shehanshah Shares & Stocks Pvt. Ltd. that the same may be used for giving me any information/alert/sms/call.

I further declare the above mentioned statement is true and correct.

32 ✓ _____
(Signature of Client)

Name	
Client Code	
Address	
Phone No.	
Date	

CLIENT DEFAULTER DECLARATION**VOLUNTARY**

I,..... having PAN no.do

herby declare that I have not been involved in any terrorist activity and I have not been declared as defaulter or my name is not appearing in defaulter database as per SEBI/ Various Exchanges/ Regulatory bodies/CIBIL (Credit Information Bureau of India Ltd.) etc.

I further declare that the above mentioned declaration/statement is true and correct.

(Signature of Client) 33 ✓ _____

Name:

Client Code:

Date:

[Note: To be signed by person himself/herself not to be signed by his/her attorney/authorised person etc.]

Dated :

To

Shehanshah Shares & Stocks Pvt. Ltd.

Trading Member NSE

Plot No. 19, Pocket C-9, Sector-7, Rohini, Delhi-110085

Phone : 011- 43241100 (12 Lines), 011 - 27041100, Fax : 011- 45171100

E-mail: info@shehanshah.com

Dear Sir,

This is to certify that the savings / current account no. _____ of

Mr. / Ms. M/s. _____ held jointly with Mr./Ms./M/s. _____ with our
branch has been active since _____ years / months and the operation of the account has been satisfactory.

We further confirm that the photograph herein bears true likeness to the identity of the above mentioned person & that the address of the person is as given below :



.....
.....
.....
.....

34 ✓ _____

Signature of client

Name, Signature of the Branch Manager

Signed in my presence and Attested

Stamp of the Bank and the Branch

Signature of Branch manager

Dear Client

You are requested to go through carefully and understand the following do's and don'ts before you start dealing in securities market :

DO's : PRE-ACCOUNT OPENING

1. Always deal with market intermediaries registered with SEBI/ Exchanges.
2. It is very important that one should make himself/herself familiar with the rules, regulations, byelaws and circulars issued by Stock Exchange/SEBI before entering into and/or carrying out any transaction.
3. Transact only through Stock Exchanges.
4. Please carry out due-diligence before registering as client with any intermediary.

Client should carefully read and understand the contents stated in the Risk disclosure document, which forms part of investor registration requirement for dealing through brokers in stock market.

5. Clear all your doubts by raising your queries with the Relationship Manager prior to opening an account.

DON'Ts : PRE-ACCOUNT OPENING

1. Do not start trading until you have read and understood the Risk Disclosure Documents and Member-Client Agreement.

DO's : ACCOUNT OPENING

1. Please read and understand and thereafter execute the Member-Client Agreement with Shahanshah, setting out the terms and conditions clearly.
2. Enter accurate and complete details in the form, fill up all fields. Do not leave any spaces blank.
3. All information provided should be factually correct and accurate since this will form the basis of your relationship with Shehanshah Shares & Stocks Pvt. Ltd.
4. Read and understand every document in the Registration kit carefully before signing.
5. Please provide accurate Email ID, Client ID, DP ID, Mobile Number, Contact Details and Bank account number.
6. The correspondence address should always be updated.
7. Submit your Permanent Account Number (PAN)
8. Understand the utility of maintaining a running account before signing the authorization for the same. Maintaining a Running Account with us is not compulsory.
9. Ensure that all details are correctly mentioned in the welcome letter sent by Shahanshah after account opening.

DON'Ts : ACCOUNT OPENING

1. Do not sign the Member-Client Agreement without clearing your doubts in respect thereof.
2. Do not fill in wrong or incomplete details. Do not overwrite, cancel, misspell the details.

DO's : PRE-TRADING

1. Adopt trading / investment strategies commensurate with you risk bearing capacity, as all investments carry risk, the degree of which varies according to the investment strategy adopted.
2. Assess the risk-return profile of the investments as well as the liquidity and safety aspects before making and/or acting

upon your investment decision.

3. Make investments based on your sound reasoning, after taking into account all publicity available information, fundamentals and information made available.
4. Check the veracity of the information available.
5. Access the websites of Companies and Regulators for information.
6. Keep copies of the Member Client Agreement and your trading account related documents.
7. Familiarise yourself with the rules, regulations and circulars issued by stock exchanges/SEBI before carrying out any transaction.
8. Ask all relevant question and clear your doubts with your broker before transacting.
9. Please be informed that there are no guaranteed returns on investment in stock markets. Investment in Capital Market are subject to market risk.
10. Ensure that you have adequate balance before you buy.
11. Ensure that you are holding securities before you sell.
12. Exercise due caution while trading in illiquid shares or penny stocks or Z, T2T category stock.

DON'Ts : PRE-TRADING

1. Do not trade in any product without knowing and/or understanding the associated risks and rewards.
2. Do not influenced by information which is not originating from an appropriate source.
3. Do not believe any promises made about Assured Returns by any employees or Sub-Broker or Authorized Persons or Marketing Associates of Shehanshah Shares & Stocks Pvt. Ltd.
4. Do not rely on any implicit/explicit promise made by the issuer or any third party or returns.
5. Do not be influenced into buying fundamentally unsound companies (penny stocks) based on sudden spurts in trading volumes or prices or non-authentic favorable looking articles/stories.
6. Do not match / synchronize trade with any other person for any thinly traded shares.
7. Do not be misled by rumors circulating in the market.
8. Do not indulge in any trading activity which results in disturbance of market equilibrium in any manner including manipulation of price of any scrips.
9. Do not follow the herd or play on momentum it could turn against you.
10. Do not be misled by so called hot tips.
11. Do not undertake deals for others or trade on your own name and then issue cheques from family members/friend accounts.

12. Do not pay in cash or do not issue a cheque in name of any employee of Shehanshah Shares & Stocks Pvt. Ltd. or any other person in respect of the transaction.
13. Do not get carried away by luring advertisements, if any.
14. Do not try to time the market.
15. Do not leave signed blank delivery instruction slips (DIS) of your depository account lying around carelessly or with anyone.
16. Do not sign blank DIS and keep them with Depository Participant or broker to save time. Remember your carelessness can be your peril.
17. Do not invest based on bull run of the market index/scrips of other companies in same industry/issuer company.
18. Do not start trading before reading and understanding the Risk Disclosure Documents.
19. Don't get misled by guarantees of repayment of your investments through postdated cheques.

DO's : TRADING

1. Call on the landline numbers of your local branch and give clear and unambiguous instructions while placing orders over the phone.
2. Be vigilant in your transactions.
3. Be aware of the risk associated with you Capital Market and Futures & Options positions in the market and margin calls on them. In case of inadequate margin or nonpayment or margin in your account, your positions will be squared off by the Risk Management Team.
4. Please bear in mind that while it is our endeavor to inform you in case of a margin call, it is your responsibility to maintain adequate margins. Shehanshah Shares & Stocks Pvt. Ltd. will square up outstanding position in case of shortfall in margin and delay in payments.
5. Maintain secrecy of your password in case of Internet trading, since you will be solely responsible for all transactions effected from its usage.
6. Maintain secrecy of your password in case of Internet trading, since you will be solely responsible for all transactions effected from its usage.
7. If at any point of time you received response for resetting password against request which has not been made by you, please call us at 011-43241100 Customer Care Helpdesk immediately.
8. Following is the procedure for handling short deliveries in case the seller client fails to deliver securities in our Pool account within specified pay-in time limit against his/her pay-in/selling obligations :
 - (a) The short delivering client is debited by an amount equivalent to 10% above the closing rate of the day prior to Pay-in/Pay-out day. The securities delivered short are purchased from the market on T + 3 day which is the Auction day on Exchange, and the purchase consideration is debited to the short delivering seller client along with the reversal entry of provisional amount debited earlier.
 - (b) If securities can not be purchased from market due to any force majeure condition, the short delivering seller client is debited at the closing rate + 10%. Where the delivery is matched partially or fully at the Exchange Clearing, the delivery and debits/credits shall be as per Exchange debits and credits.

DON'Ts : TRADING

1. Do not give instructions which are not clear.
2. Do not forget to take note of risks involved in the investment.
3. Do not reveal your password to any other person.
4. Do not engage your self in any activity which is in the nature of intermediary such as sub-broker without proper registration with SEBI/Exchanges.

DO's : POST TRADING

1. Insist and ensure that for every executed trade you received duly signed contract note from your broker, highlighting the details of the trade, trade time and number, transaction price, brokerage along with your unique client-id, broker's name. Please note that the details of every executed trade are posted on our website **www.shehanshah.com** in the form of digital contract notes on a daily basis. Access the website, wherever possible and satisfy yourself in respect thereof.
2. Verify all details in contract notes, immediately on receipt. If you find any discrepancy, write to us at info@shehanshah.com
3. Check you email account mentioned in the 'Know Your Client' form daily. All your account related information including trade confirmation, Contract Notes and margin calls will be emailed to you.
4. Crosscheck details of your trade with details as available on the Exchange website.
5. Insist on periodical statement of accounts for your scrutiny.
6. Pay the margin within the prescribed time. Collect/pay, your mark to market margins, on your positions, on a daily basis, from/to your Broker.
7. Ensure receipt of payment/deliveries within one working day of payout.
8. Deliver the shares in case of sale or pay the money in case of purchase before the pay-in day.
9. For payments, issue only crossed account payee cheque/DD/PO in name of Shehanshah Shares & Stocks Pvt. Ltd. Please ensure to write your full name on the back of the cheque & sign so as to disallow incorrect to any other account.
10. In case of disputes, please write to us at info@shehanshah.com
11. Client should deliver, securities for pay-in in our pool account and for margin in our beneficiary account only. Management shall not be responsible for any delivery or securities to any third party demat account including demat accounts of our sub brokers, employees, business development

representative and business partners etc. our sub broker, employees, business development representative and business partners etc.

DON'Ts : POST TRADING

1. Do not make/take payment in cash.
2. Do not hesitate to approach Shehanshah Shares & Stocks Pvt. Ltd. helpdesk. Our Helpdesk can be contacted at : 011- 43241100 E-mail : info@shehanshah.com
3. Do not delay payment/delivery of securities to Broker/Sub-Broker.
4. Do not accept unsigned contract notes or signed by an unauthorized person.
5. Do not pay more than the agreed brokerage to the intermediary.
6. Do not sign blank delivery instruction slip(s) while meeting security payin obligation.
7. Do not accept unsigned contract note or contract note signed by any unauthorized person.
8. Don't forego taking due documents of transactions, in good faith even from people whom you know.

DO's : DEPOSITORIES

1. Handle Delivery Instruction Slips (DIS) Books issued to you carefully.
2. Insist that the DIS numbers are pre-printed and your account number (client id) be pre-stamped.
3. While sending securities for demat, record the distinctive number of the securities sent.
4. Check the demat performance of the issuer company with your DP before deciding to send depository participant.

5. Scrutinize minutely both the transaction and the holding statements that you receive from your depository participant.
6. Always mention the details like ISIN, number of securities accurately. If in doubt, contact Depository Participant or your broker.
7. Authorize any corrections, over-writing or cancellation on the instruction slips by signing against the same.
8. Ensure that all joint holders of the demat account sign the slip.
9. If there is space for multiple instructions and it is not used full, please strike out the blank space for furnishing securities details.
10. Avoid over-writing, cancellations, misspellings, changing of the name and quantity of securities.
11. In case you are not transacting frequently make use of the freezing facilities

DON'Ts : DEPOSITORIES

1. Do not issue depository delivery instruction slip from any other family members/friends accounts. Issue the DIS only from your own depository account.
2. Do not sign blank Delivery instruction slip(s) while meeting security payin obligation.

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DISCLAIMER

The information has been compiled to present the Client with a broad understanding of the subject and is general in nature. The Contents do not purport to explain or interpret Acts, Circulars, Rules, Regulations and Guidelines. The information is not intended as a source of advertising or solicitation and the contents of the same should not be construed as legal advice, Readers should take specific advice from qualified professionals when dealing with specific situations and should not consider this as an invitation for a broker client relationship.

We make no warranty to any kind with respect to the subject matter included herein. We are not responsible for any actions (or lack thereof) taken as a result of relying on or in any way using information contained herein and in no event shall we be liable for any damage or loss resulting from reliance or use of this information. Without limiting the above we shall each have no responsibility for any act, error or omission, whether such acts, errors or omissions result from negligence, accident or any other cause.

I hereby acknowledge that I have read and understood the above Do's and Don'ts

Client Signature : 38 ✓ _____

Client Name :

Place :

Date :

(DISCLOSURE TO CLIENT)

To,

..... (Fill Client Name & Address)

.....

.....

Sir,

As per exchange notification, we hereby inform you that, we do trading on behalf of client and on our own account also.

Thanks and best regards

For Shehanshah Shares & Stocks Pvt. Ltd.

(Authorized Signatory)

I acknowledge receipt of your information that you do client based trading and Pro account trading.

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(Client Signature)

ACKNOWLEDGEMENT

Shehanshah Shares & Stocks Pvt. Ltd.

Plot No. 19, Pocket C-9, Sector-7, Rohini, Delhi-110085

Phone : 011- 43241100 (12 Lines), 011 - 27041100, Fax : 011- 45171100, E-mail: info@shehanshah.com

I/We hereby acknowledge the receipt of duly executed copy of KYC, MCA/Tripartite Agreement, RDD and all other documents as executed by me/us. Further I confirm that the documents for KYC submitted by me are true and correct. I acknowledge that the other details related to my account are as under:

Trading Code UCC

My E-mail id

40 ✓ _____

(Signature of client)

घोषणा

मैं.....यह घोषणा करता हूँ कि मैंने शहंशाह शेयरस एंड स्टॉक्स प्राइवेट लिमिटेड में अपना ट्रेडिंग और डीमेट खाता खोला है। उसके लिए एकाउन्ट ओपनिंग फार्म और विभिन्न कागजात पर हस्ताक्षर किया है जोकि अंग्रेजी भाषा में है। मुझे इसका हिन्दी में मतलब समझा दिया गया है। मैं शहंशाह शेयरस एंड स्टॉक्स प्राइवेट लिमिटेड के नियम व शर्तों से सहमत हूँ।

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हस्ताक्षर

SHEHANSHAH SHARES & STOCKS PVT. LTD.

NSE	NSE-INB231301830	(Capital Market)	TM Code-13018
	NSE-INF231301830	(Future & Option)	
	NSE-INE231301830	(Currency Derivatives)	

Clearing Member for Derivatives Segment of NSE : Globe Capital Market Ltd

609, 6th Floor, Ansal Bhawan, 16, K.G. Marg, New Delhi-110001 SEBI Regn. No. : NSE-INF230663732

CHECK LIST

Name			
Client Code		PAN	
Date of Account Opening		Date of Birth	

BROKERAGE

NSE - CAPITAL MARKET

	Maximum	Minimum
Intraday	<input type="text"/>	<input type="text"/>
Delivery	<input type="text"/>	<input type="text"/>
Trade to Trade	<input type="text"/>	<input type="text"/>

NSE - FUTURES

	Maximum	Minimum	Per Lot
NSE	<input type="text"/>	<input type="text"/>	<input type="text"/>

NSE - OPTIONS

	Maximum	Minimum	Per Lot
NSE	<input type="text"/>	<input type="text"/>	<input type="text"/>

CURRENCY

	% Per Lot	%Minimum per Lot	% Flat Brokerage Per Lot
NSE Brokerage	<input type="text"/>	<input type="text"/>	<input type="text"/>
Brokerage	<input type="text"/>	<input type="text"/>	<input type="text"/>
Other	<input type="text"/>	<input type="text"/>	<input type="text"/>
Brokerage	<input type="text"/>	<input type="text"/>	<input type="text"/>

* Transaction Charges, Service Tax, STT, Stamp Duty, Other Govt. Levies are extra.

One Time Account Opening Charges (Rs.)..... Recurring Charges : Monthly..... Quarterly..... Half Yearly..... Yearly.....

DEPOSITORY

BANK PARTICULARS

DP ID		A/c No.	
Client ID		Bank Name	
DP Name		MICR No.	
		Branch Address	

SPACE FOR ADDITIONAL BANK & DP ACCOUNT

IDENTITY PROOF (PAN is Compulsory and give one proof out of Item 2 to 5)

	1. PAN No.	
	2. Voter ID Card	
	3. Passport No. (with exp. date)	
	4. Driving Licence (with exp. date)	
	5. Identity Card in case of govt. official	

FOR OFFICE USE ONLY

Documents verified	Spot Verification	Pre verification Call	Post Trade Verification
Identity verified by			physical contract note no..
Add. Proof verified by			POD No
Personal verification by			
DP verification by			



GROW WITH US

CORE VALUES

- TRANSPARENCY** : We believe transparency is the only force which can make the small sapling a giant tree.
- HONESTY** : Honesty is the best policy.
- INTEGRITY** : Financial Broker's job is the job of utmost confidence & trust. Even a iota of doubt can rock the boat.

ADVANTAGE SHEHANSHAH

- | | |
|------------------------------------|------------------------------------|
| ★ SMS Alert Service | ★ Internet Based Trading |
| ★ Electronic Contract Note | ★ Tie up with best in the Industry |
| ★ Wide choice of trading platforms | ★ Online Banking Gateway |
| ★ Online Back Office Integration | ★ End to End Trade Solution |

PROCESSING SHEET

- ★ PAN Verified by : _____
- ★ Back Office Entry : _____
- ★ File Export to NSE : _____
- ★ Mapping by : _____